

# Representing variation

## The view from phonological theory

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## Where is syntactic variation?

- In syntactic theory, there has been a lively debate about the locus of variation
- Roughly two positions:
  - Variation is in the computational system ('parameters')
  - The computational system is universal; variation is in the representations, specifically in the choice of features in functional categories (the 'Chomsky-Borer' hypothesis)
- The second hypothesis is attractive; it leaves computation universal, so that it does not have to be learned. the only thing that needs to be learned is the lexicon (and that is necessary anyway).

## Where is phonological variation?

- Phonologists have always been empirically interested in variation (possibly more so than syntacticians)
- Yet they do not seem to participate much in the discussion on the locus of variation in syntactic theory.
- There are roughly three positions, but all of them put variation in the computational component:
  - Different systems correspond to different rule systems (*SPE*, variation in individual rules, their ordering; etc.) plus the relevant representations.
  - Parameter theory (e.g. Hayes' work in the 1980s on stress; Government Phonology)
  - Constraint ranking (OT, Harmonic Grammar)

## Learning phonology: an exercise

- One thing a child definitely has to learn while acquiring phonology is: which phonetic properties are contrastive?
- In other words, she has to learn what the phonological primitives are (features, elements)
- What if we assume that this is the *only* thing the child learns: the set of features and possibly some properties of those features?
- In syntax, the primitives are functional items, i.e. morphosyntactic feature bundles (I think); in phonology it would be elements or features.
- That would lead to something similar to the Borer-Chomsky Conjecture in phonology.

## The set-up of the grammar

- The idea is that computation is universal.
- I assume this means that there is a set of operations such as autosegmental linking and delinking, projection of prosodic structure, etc.
- These apply “whenever their conditions are met” (a cross-linguistic generalization of Kaye’s Minimalist Hypothesis, that is usually supposed to be true *within a given language*)

# Two case studies

- Vowel harmony
- Final devoicing

# Turkic vowels

ɨ	∅	i	{FRONT}
a	{LOW}	e	{FRONT, LOW}
u	{ROUND}	y	{FRONT, ROUND}
o	{LOW, ROUND}	ø	{FRONT, LOW, ROUND}

(work with Kathrin Linke)

## Building Block 1: Licensing Constraints

- Charette and Göksel (1996) observe that the patterns of Vowel Harmony follow the same ‘licensing constraints’ as the underlying inventory in Turkic.
- However, their background assumption is that there is a universal generator which takes all the available elements and combines them freely, and then there is a set of language-specific constraints which rules out certain combinations both syntagmatically and paradigmatically
- Furthermore, presumably the way in which the paradigmatic relations are established is probably also language-specific.
- Variation is still mostly in the computation; the elements stay the same.



## Building Block 2: Needy Vowels

- Nevins (2010) argues that Vowel Harmony is triggered by the target vowel, which is ‘needy’, i.e. it does not have a value for a (binary) feature, and it is specified as needing one.
- This creates the possibility that VH is a universal process, which however only applies if a vowel is needy. Some languages just do not have needy vowels.
- Since Nevins works in a binary feature framework, there is no specific prediction as to which features can be needy.

## Building Block 3: Emptiness as a condition on Vowel Harmony

- Pöchtrager (2010) shows that disharmonic roots in Turkish obey certain restrictions, which mostly have to do with emptiness: I elements only spread to empty-headed positions, U only to empty expressions (a famous paper by Clements and Sezer 1982 makes similar observations)
- Pöchtrager notes that a problem of ordering arises (if I spreading applies first, this evacuates U spreading), but he assumes that spreading is just a matter of (simultaneous interpretation): the target stays empty
- This makes (phonetic?) interpretation of phonological into something that is variable / the object of parametric variation.

## Building Block 4: Strength of individual segments

- Inkelas (ms) proposes that learners give an individual 'strength' index to segments on a word-by-word basis. The strength corresponds to the confidence that a learner has about the identity of such a segment.
- Typically, the strength will be higher for segments within morphemes than at edges; that explains (certain) DEE
- Inkelas proposes a ranking  $FAITH_{strong} \gg M \gg FAITH_{weak}$  for such situations
- Notice that this ranking could be universal: learners of a language in which M is completely irrelevant learn that all segments are strong, learners of a language in which M always apply, make all segments weak

## Putting the blocks together

- We accept that a language consists of unary elements that can be freely combined into segments
- We accept that VH is a matter of ‘needy vowels’
- We propose that emptiness leads to neediness (a completely full vowel is never needy)
- The issue is: what is neediness?

(There are some similarities also with Van der Hulst 2012; which may hopefully be discussed during the discussion period.)

# Feature Cooccurrence Requirements

We have to assume that features can have the following properties

- $F \supset G$ : the feature F ‘needs’ the feature G
- $*\{F,G\}$ : the feature F ‘repels’ the feature G

Note that this means that features have properties (just like ‘uninterpretable’ etc. features in classical minimalism). This is something which needs to be formalized. I assume that actually only features can have such properties, not segments.

(I will from now on talk about features, just because that is more in line with syntactic parlance.)

# Vowel harmony

<i>nom.sg.</i>	<i>gloss</i>	<i>gen.sg.</i>	<i>nom.pl.</i>
kɪz	'girl'	kɪz-ɪn	kɪz-lar
ip	'rope'	ip-in	ip-ler
sap	'stalk'	sap-ɪn	sap-lar
el	'hand'	el-in	el-ler
pul	'stamp'	pul-un	pul-lar
jyz	'face'	jyz-yn	jyz-ler
son	'end'	son-un	son-lar
køj	'village'	køj-yn	køj-ler

## Spreading properties

We have to assume that Turkish features have the following properties

- $V \supset \text{FRONT}$
- $V \supset \text{ROUND}$
- **FRONT** and **ROUND** need lexical support (cannot be just inserted to satisfy the needs of V)

Spreading of features would be a universal process, but subject to the properties of the vowels in question.

The third property might be a universal (the Non-Arbitrariness Condition of Government Phonology)

The result is that empty vowels will start attracting **FRONT** and **ROUND** whenever they can; but they will stay needy on the surface if those features are not available.

# Final Devoicing

- Catalan:
  - *gris* 'grey (M)' - *grizə* 'grey (F)'
  - *gos* 'dog (M)' - *gosə* 'dog (F)'
- Dutch:
  - *kwaa[t]* 'angry (PRED.)' - *kwadə* 'angry (ATT)'
  - *laat* 'late (PRED.)' - *latə* 'late (ATT)'
- German:
  - *Rad* 'wheel (NOM. SG.)' - *Rades* 'wheel (GEN.SG.)'
  - *Rat* 'council (NOM.SG.)' - *Rates* 'council (GEN.SG.)'

(work with Björn Köhnlein)



## Two Romance dialects

- Standard French has obligatory devoicing (only) before voiceless obstruents: distin[kt]tif (distin[g]uer), su[pt]ropical (su[b]alpin), pro[ʃt]er (pro[ʒ]et), a[ps]or[ps]ion (absor[b]er), la[ts]us (par[de]sus), but [regard] ‘look’
- Walloon: *wåde-lu* ‘keep it’ [wɔ:tly] vs. *wåd’-ler* ‘to support mine walls with billets’ [wɔdle] (Liège)

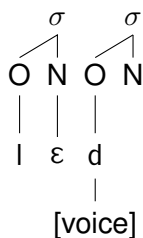
(Van Oostendorp, to appear)

# Final Devoicing as a property of [Voice]

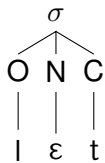
- FINDEV: [Voice] needs to be in an onset.

*laide*

French



Walloon



## Independent evidence

- The most important argument in favour of the assumption that word final consonants are onsets in French, is that they can form clusters
- However, these clusters have been simplified in Walloon
- This gives strong support to the assumption that they are syllabified differently, i.e. in codas

underlying form	isolation	prevocalic
/trist/	[tris] 'sad'	[tristɛs] 'sadness'
/mɛspl/	[mɛs] 'meddlar'	[mɛspli:] 'meddlar-tree'

# What's the difference?

- Are (final) empty nuclei allowed? (the Piggott parameter)
- This again can be formalized as a property of a feature (e.g.  $V \supset F$ )

# Four West Germanic languages

- Dutch: /rad/ → [rat] (voicing distinction, FD)
- German: /rat/ → [rat<sup>h</sup>] (spread glottis distinction, FD)
- Yiddish: /rɔd/ → [rɔd] (voicing distinction, no FD)
- English: /bæt/ → [bæt] (spread glottis distinction, no FD)

# Four West Germanic languages: Voicing assimilation

- Dutch: /frœyt+/bo:m/ → [frœydbo:m] (VA)
- German: /opst+/paum/ → [opst<sup>h</sup>paum] (no VA)
- Yiddish: /bək+/bein/ → [bagbein] (VA)
- English: /bæk+/bon/ → [bækbone] (no VA)

# Analysis

- Dutch and German have a syllable structure like Walloon
- English and Yiddish have a syllable structure like French
- Dutch and Yiddish have a feature VOICE
- English and German have a feature SPREAD
- Coda consonants are needed for any laryngeal feature
- VOICE is needed for onsets
- SPREAD can be provided by the end of the word



# Projection

- We encounter a specific type of neediness, i.e. neediness of a feature for a certain position.
- This has been formalized in Van Oostendorp (1995, 2000) as ‘projection’: certain features project to certain prosodic positions and vice versa. In that work, however, projection is a (violable) constraint of the grammar
- We need to reconceptualize it as a property of the feature

## Conclusion

- I have presented an exercise in finding a more precise locus of variation in phonology
- The idea is that the only thing which is language-specific is the set of features and their properties; everything else follows from them
- Under this model, the acquisition task would be restricted to learning the set of phonological features and their properties (in particular, neediness and repulsion)
- An issue: we have to stipulate ‘properties of features’, of two types: relations to other features, and projection to prosodic structure.
- It is not immediately clear what this means, and to what extent this can be extended to other domains (e.g. stress should be seen entirely as projection of features).